

11-03-04

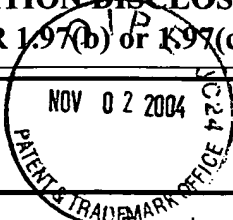
IFW

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. 15436.253.88.2	
In Re Application Of: Stewart, et al.					
Application No. 10/783,560	Filing Date 02/20/2004	Examiner Unknown	Customer No. 022913	Group Art Unit 2633	Confirmation No. 7564
Title: TIME-BASED ADJUSTMENT OF TEMPERATURE CONTROL OF LASER TO STABILIZE WAVELENGTHS					
<p>Address to:</p> <p>Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p>37 CFR 1.97(b)</p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p>37 CFR 1.97(c)</p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="margin-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center; margin-left: 100px;">OR</p> <p style="margin-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>					

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15436.253.88.2

In Re Application: Stewart, et al.



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/783,560	02/20/2004	Unknown	022913	2633	7564

Title: **TIME-BASED ADJUSTMENT OF TEMPERATURE CONTROL OF LASER TO STABILIZE WAVELENGTHS**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below.
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- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

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11/ 2 /04

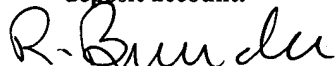
(Date)


Signature of Person Mailing Correspondence

Chris A. Jensen

Typed or Printed Name of Person Mailing Certificate

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Signature

Dated: November 2, 2004.

R. BURNS ISRAELSEN

Attorney for Applicant

Registration No. 42,685

Customer No. 022913

CC:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Stewart, et al.

Docket No.

15436.253.88.2

Application No.

10/783,560

Filing Date

02/20/2004

Examiner

Unknown

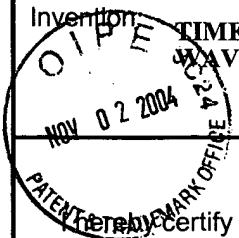
Customer No.

022913

Group Art Unit

2633

Invention: TIME-BASED ADJUSTMENT OF TEMPERATURE CONTROL OF LASER TO STABILIZE
WAVELENGTHS



I hereby certify that the following correspondence:


Transmittal Letter (2 pgs, in triplicate); Information Disclosure Statement (3 pgs); Form PTO-1449 Listing all Cited References (3 pgs); Legible Copies of 3 Cited References; postcard; and Certificate of Express Mailing Label No. EV 494 039 817 US

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

November 2, 2004*(Date)*

Chris A. Jensen

(Typed or Printed Name of Person Mailing Correspondence)
(Signature of Person Mailing Correspondence)

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PATENT APPLICATION
Docket No: 15436.253.88.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of)
)
 Stewart, et al.)
)
 Serial No.: 10/783,560) Art Unit
) 2633
 Confirmation No.: 7564)
)
 Filed: February 20, 2004)
)
 For: TIME-BASED ADJUSTMENT OF)
 TEMPERATURE CONTROL OF LASER TO)
 STABILIZE WAVELENGTHS)
)
 Examiner: Unknown)

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also enclosed.

Statement of Relevance of References Listed
Unaccompanied by English Translation
Under 37 C.F.R. § 1.98(a)(3)

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

The accompanying Form PTO-1449 notifies the Examiner of the specified related pending U.S. patent applications listed therein. This notice is not an admission that the claims of the present application and the claims of the specified related pending patent applications are substantially similar or that the specified related applications represent prior art with respect to the present application.

Please credit any over payment or charge any additional fees to Deposit Account No. 3-3178 of the undersigned.

DATED November 2, 2004.

Respectfully submitted,



R. BURNS ISRAELSEN
Attorney for Applicant
Registration No. 42,685
Customer No. 022913

RBI: caj
CAJ0000000735V001

Applicant: Stewart, et al.

Serial No.: 10/783,560

Att'y Docket No.: 15436.253.88.2

Filing Date: February 20, 2004

Group: 2633

For: TIME-BASED ADJUSTMENT OF TEMPERATURE
CONTROL OF LASER TO STABILIZE WAVELENGTHSINFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTRelated U.S. Patent Applications

<u>Inventor</u>	<u>Application Number</u>	<u>Filing Date</u>
Stewart et al.	10/700,981	November 4, 2003
Stewart et al.	10/700,845	November 4, 2003
Nguyen	10/716,745	November 11, 2003
Stewart et al.	10/725,871	December 12, 2003

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>
___ 1	4,359,553	11/16/82	Edwards
___ 2	4,378,451	03/29/83	Edwards
___ 3	4,378,451	12/23/86	Simons, et al.
___ 4	5,019,769	05/28/91	Levinson, et al.
___ 5	5,041,491	08/20/91	Turke, et al.
___ 6	5,204,535	06/18/91	Winston Jr., et al.
___ 7	5,281,516	01/25/94	Stapleton, et al.
___ 8	5,516,563	05/14/96	Schumann, et al.
___ 9	5,604,758	02/18/97	AuYeung, et al.
___ 10	6,055,252	04/25/00	Zhang
___ 11	6,353,623	03/05/02	Munks, et al.
___ 12	6,400,737	06/04/02	Broutin, et al.

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant: Stewart, et al.
 Serial No.: 10/783,560 Att'y Docket No.: 15436.253.88.2
 Filing Date: February 20, 2004 Group: 2633
 For: TIME-BASED ADJUSTMENT OF TEMPERATURE
 CONTROL OF LASER TO STABILIZE WAVELENGTHS

U.S. Patent Application Publications

Examiner Initial*	Publication Number	Publication Date	Name
_____ 13	2003/0152390	08/14/03	Stewart, et al.
_____ 14	2004/0114646	06/17/04	Stewart, et al.

Foreign Patent Documents

Examiner Initial*	Document Number	Publ. Date	Country or Patent Office	International Filing Date
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NONE

Other Documents

(including author (if listed), title, relevant pages, date of publication including at least month and year).

Examiner
Initial*

_____ 15	"4-Channel Temperature Tunable 40 mW CW DFB Laser with Locker CQF474/708 Series." JDS Uniphase Product Bulletin. www.jdsu.com. 3 pgs.
_____ 16	"SFF-8053 Specification for GBIC (Gigabit Interface Converter)." SFF Committee. September 27, 2000. www.schelto.com/t11_2/GBIC/sff-8053.pdf. 82 pgs.
_____ 17	"Small Form-factor Pluggable (SFP) Transceiver Multisource Agreement (MSA)." www.schelto.com/SFP/SFP%20MSA.pdf. September 14, 2000 38 pgs.

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant: Stewart, et al.

Serial No.: 10/783,560

Att'y Docket No.: 15436.253.88.2

Filing Date: February 20, 2004

Group: 2633

For: TIME-BASED ADJUSTMENT OF TEMPERATURE
CONTROL OF LASER TO STABILIZE WAVELENGTHS**References Cited by Applicants**

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

CAJ0000000734V001

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
